



## The Axiom of Choice, Cardinal Numbers, etc.

This chapter includes Section 0.7 to 0.8 of the original book.

**Axiom 3.0.1** (Axiom of Choice). The product of a family of nonempty sets indexed by a nonempty set is nonempty.

**Note 5** — Here's the alternate version: Let  $S$  be a set. A *choice function* for  $S$  is a function  $f$  from the set of all nonempty subsets of  $S$  to  $S$  such that  $f(A) \in A$  for all  $A \neq \emptyset$ ,  $A \subset S$ . The Axiom of Choice is equivalent to the claim: Every set  $S$  has a choice function.

**Definition 3.0.2.** A *partially ordered set* is a nonempty set  $A$  together with a relation  $R$  on  $A \times A$  (called a *partial ordering*) of  $A$  which is reflexive, transitive and **antisymmetric**. Antisymmetry means that if  $(a, b), (b, a) \in R$  then  $a = b$ . For partial ordering  $R$ , when  $(a, b) \in R$  we denote this as  $a \leq b$ . Elements  $a, b \in A$  are *comparable* if either  $a \leq b$  or  $b \leq a$ . A partial ordering of a set  $A$  such that any two elements are comparable is called a *total ordering* (or *linear* or *simple ordering*).

### Example 3.0.3

Let  $A$  be the power set of  $\{1, 2, 3, 4, 5\}$  and define  $C \leq D$  if  $C \subset D$ . This is a partial ordering, but not a total ordering. For example,  $\{1, 2\}$  and  $\{2, 3\}$  are not comparable.

Let  $(A, \leq)$  be a partially ordered set. An element  $a \in A$  is *maximal* in  $A$  if for every  $c \in A$  which is comparable to  $a$ , we have  $c \leq a$ . An *upper bound* of a nonempty subset  $B$  of  $A$  is an element  $d \in A$  such that  $b \leq d$  for every  $b \in B$ . A nonempty subset  $B$  of  $A$  that is totally ordered by  $\leq$  is a *chain* in  $A$ .

### Theorem 3.0.4 (Zorn's lemma)

Let  $A$  be a nonempty partially ordered set. If every chain has an upper bound, then  $A$  has a local maximum.

**Definition 3.0.5.** Let  $B$  be a nonempty subset of a partially ordered set  $A$  (under  $\leq$ ). If every nonempty subset of  $B$  has a least element<sup>1</sup>, then  $B$  is *well ordered*.

**Proposition 3.0.6 (The Well Ordering Principle)** If  $A$  is a nonempty set, then there exists a total ordering  $\leq$  of  $A$  such that  $A$  is well ordered under  $\leq$ .

This allows us to extend the Principle of Mathematical Induction (2.0.10) to any well ordered set.

<sup>1</sup>Similar to the definition of maximum.

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**Definition 3.0.7.** • Two sets  $A$  and  $B$  are *equipollent* if there exists a bijective map from  $A$  to  $B$ , in which case we denote this as  $A \sim B$ .

- If set  $A$  is equipollent to a set  $I_n = \{1, 2, \dots, n\}$  for some  $n \in \mathbb{N}$  or to the set  $I_0 = \emptyset$  then set  $A$  is *finite*. Otherwise set  $A$  is *infinite*.

**Definition 3.0.8.** The *cardinal number* of a set  $A$ , denoted  $|A|$ , is the equivalence class of  $A$  under the equivalence relation of equipollence.  $|A|$  is an infinite or finite cardinal according as to whether  $A$  is an infinite or finite set.

Let  $A$  and  $B$  be disjoint sets such that  $|A| = \aleph$  and  $|B| = \beta$ . The *sum*  $\alpha + \beta$  is the cardinal number  $|A \cup B|$ . The *product*  $\alpha\beta$  is the cardinal number  $|A \times B|$ .

**Theorem 3.0.9**

If  $A$  is a set and  $P(A)$  is its power set, then  $|A| < |P(A)|$ .

*Proof.* The assignment  $a \mapsto \{a\}$  defines an injective map  $A \rightarrow P(A)$  so that  $|A| \leq |P(A)|$ . If there were a bijective map  $f : A \rightarrow P(A)$ , then for some  $a_0 \in A$ ,  $f(a_0) = B$ , where  $B = \{a \in A \mid a \notin f(a)\} \subset A$ . But this yields a contradiction:  $a_0 \in B$  and  $a_0 \notin B$ . Therefore  $|A| \neq |P(A)|$  and hence  $|A| < |P(A)|$ .  $\square$

\*Because the mouse is broken , next we'll only list the theorems.

**Theorem 3.0.10**

If  $A$  and  $B$  are sets such that  $|A| \leq |B|$  and  $|B| \leq |A|$  , then  $|A| = |B|$  .

**Theorem 3.0.11**

The class of all cardinal numbers is linearly ordered by  $\leq$ . If  $\alpha$  and  $\beta$  are cardinal numbers, then exactly one of the following is true:

$$\alpha < \beta ; \alpha = \beta ; \beta < \alpha$$

**Note 6** — This is called the *Law of Trichotomy*. A family of functions partially ordered as in the proof of 3.0.11 is said to *be ordered by extension*.

**Theorem 3.0.12**

Every infinite set has a denumerable subset. In particular,  $\aleph_0 \leq \alpha$  for every infinite cardinal number  $\alpha$ .

**Lemma 3.0.13**

If  $A$  is an infinite set and  $F$  is a finite set then  $|A \cup F| = |A|$  . In particular,  $\alpha + n = \alpha$  for every infinite cardinal number  $\alpha$  and every finite cardinal number  $n$ .

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**Theorem 3.0.14**

If  $\alpha$  and  $\beta$  are cardinal numbers such that  $\beta \leq \alpha$  and  $\alpha$  is infinite, then  $\alpha + \beta = \alpha$ .

**Theorem 3.0.15**

If  $\alpha$  and  $\beta$  are cardinal numbers such that  $0 \neq \beta \leq \alpha$  and  $\alpha$  is infinite, then  $\alpha\beta = \alpha$ ; in particular,  $\alpha\aleph_0 = \alpha$  and if  $B$  is finite then  $\aleph_0\beta = \aleph_0$ .

**Theorem 3.0.16**

Let  $A$  be a set and for each integer  $n \geq 1$  let  $A^n = A \times A \times \cdots \times A$  ( $n$  factors).

- (i) If  $A$  is finite, then  $|A^n| = |A|^n$ , and if  $A$  is infinite then  $|A^n| = |A|$ .
- (ii)  $|\bigcup_{n \in \mathbb{N}} A^n| = \aleph_0|A|$

**Corollary 3.0.17** If  $A$  is an infinite set and  $F(A)$  is the set of all finite subsets of  $A$ , then  $|F(A)| = |A|$ .